

**Scope:** DPV Chapter 1 and Sections 2.1, 2.2, 2.3, 2.4, 2.5, and 3.1.

**Problem 1** For each of the following pairs of functions  $f$  and  $g$ , state whether  $f(n) \in \Theta(g(n))$ , and if not, then whether  $f(n) \in O(g(n))$  or  $f(n) \in \Omega(g(n))$ .

(Example: For  $f(n) = 2n$  and  $g(n) = n$  the answer would be  $f(n) \in \Theta(g(n))$ .)

	$f(n) =$	$g(n) =$	Your answer
(w)	$3^{n-1}$	$2^n$	
(q)	$\frac{1}{15}n^2 + 97^{98^{99}} \cdot n$	$n^2$	
(s)	$n^2$	$\frac{n(n+1)(n+2)}{(n+3)}$	
(y)	$n^{\log_2 n}$	$2^{2(\log_2 n)}$	
(u)	$n^5 + 12n$	$\frac{2^n}{n^3 + 6}$	

**Problem 2** In each of the following, ❶ state whether the statement is true or false *and* ❷ justify your answer.

(g)  $n^2 2^n \in \Omega(n 2^{2n})$ ?                      (i)  $n^2 \in O\left(\frac{(n+1)^4}{n}\right)$ ?

**Problem 3** Prove by induction that, for each  $n \geq 0$ ,  $n^3 + 2n$  is divisible by 3.

**Problem 4** For each of the following give a tight  $\Theta(\cdot)$  bound on the number of times the  $z=z+1$  statement is executed and justify your answer.

<p><b>a.</b></p> <pre> j = 1; while (j&lt;=n) {   j = j*2;   z = z+1; }</pre>	<p><b>b.</b></p> <pre> j = 1; while (j&lt;=n) {   j = j+j+j;   z = z+1; }</pre>	<p><b>c.</b></p> <pre> for(k=0; k&lt;=n; k++)   for(j=n; j&gt;=k; j--)     z = z+1</pre>	<p><b>d.</b></p> <pre> for(k=n; k&gt;=0; k--)   for(j=0; j&lt;=k; j++)     z = z+1</pre>
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**Problem 5** [This problem is based on DPV Exercise 2.4.] For each of the following state the recurrence for the algorithm and solve it via the Master Recurrence Theorem. (See the Math Facts page for the theorem.)

- Algorithm W solves problems of size  $n$  by dividing them into nine subproblems of size  $n/2$ , recursively solving them, and then combining the solutions in  $\Theta(n^2)$  time.
- Algorithm X solves problems of size  $n$  by dividing them into five subproblems of size  $n/2$ , recursively solving them, and then combining the solutions in  $\Theta(n)$  time.
- Algorithm Y solves problems of size  $n$  by dividing them into 7 problems of size  $n/3$ , recursively solving them, and then combining the solutions in  $\Theta(n^2)$  time.
- Algorithm Z solves problems of size  $n$  by dividing them into nine subproblems of size  $n/3$ , recursively solving them, and then combining the solutions in  $\Theta(n^2)$  time.

**Problem 6** [This problem is based on DPV Exercise 2.23(a).] A value  $v$  is said to be the *majority value* of a list  $[x_1, \dots, x_k]$  when **more than half** of the elements of the list equal  $v$ , i.e.,  $\#\{i : x_i = v\} > k/2$ ; a value that is **not** a majority value is called a *minority value*. Given a list, we want to decide if a list has a majority value, and if so, we want to find this value. We assume we can do equality tests in constant time.

- (a) Given a value  $y$  and a list  $[x_1, \dots, x_k]$ , show how we can test whether  $y$  is the majority value of  $[x_1, \dots, x_k]$  in  $\Theta(k)$  time. (Hint: Yes, this is really, really easy.)
- (b) Suppose we split the list  $[x_1, \dots, x_k]$  into two parts  $[x_1, \dots, x_{\lfloor k/2 \rfloor}]$  and  $[x_{\lfloor k/2 \rfloor + 1}, \dots, x_k]$ . Suppose  $v$  is a minority value in both sublists. Explain why  $v$  must be a minority value in the full list. (If you want, you may assume  $k$  is even.)
- (c) Suppose  $v$  is a majority value in  $[x_1, \dots, x_k]$ . Use part b to explain why  $v$  must be a majority value in at least one of  $[x_1, \dots, x_{\lfloor k/2 \rfloor}]$  and  $[x_{\lfloor k/2 \rfloor + 1}, \dots, x_k]$ .
- (d) Use parts a and b to give a divide-and-conquer algorithm for the majority value problem. In the case that the list *fails* to have a majority value, the algorithm should return the value of the first element of the list.
- (e) Use the master method to give a tight  $O(\cdot)$ -time bound on the algorithm's run time.

**Problem 7** Suppose  $G = (V, E)$  is a directed graph. The *reverse* of  $G$  is the graph  $G^R = (V, E^R)$  where  $E^R = \{(b, a) \mid (a, b) \in E\}$ .

- (a) Give an algorithm for computing  $G^R$  from  $G$  where graphs are represented via *adjacency lists*. State and justify the  $O(\cdot)$ -runtime of your algorithm.
- (b) Give an algorithm for computing  $G^R$  from  $G$  where graphs are represented via *adjacency matrices*. State and justify the  $O(\cdot)$ -runtime of your algorithm.

**Some Reference Math Facts**

- a.  $a^m \cdot a^n = a^{m+n}$ .
- b.  $a^{m \cdot n} = (a^m)^n = (a^n)^m$ .
- c.  $a^n \cdot b^n = (a \cdot b)^n$ .
- d.  $\log_a a^n = n$ .
- e.  $a^{\log_a n} = n$ .
- f.  $c \cdot \log_a b = \log_a b^c$ .
- g.  $\log_a (b \cdot c) = (\log_a b) + (\log_a c)$ .
- h.  $\log_a x = (\log_a b) \cdot (\log_b x)$ .
- i.  $a^{\log_b c} = c^{\log_b a}$ .
- j.  $\sum_{k=1}^n k = \frac{n \cdot (n+1)}{2}$ .
- k.  $\sum_{k=0}^n a^k = \frac{a^{n+1} - 1}{a - 1}$ .
- l.  $\sum_{k=1}^{\infty} 2^{-k} = 1$ .
- m.  $\sum_{k=1}^n k^2 = \frac{n \cdot (n+1) \cdot (2n+1)}{6}$ .
- n.  $\sum_{k=1}^{\infty} k \cdot 2^{-k} = 2$ .
- o. For  $a > 1$  and  $b, c > 0$ :
  - $\lim_{n \rightarrow \infty} \frac{(\log_a n)^b}{n^c} = 0$ .
  - $\lim_{n \rightarrow \infty} \frac{n^c}{(\log_a n)^b} = \infty$ .
  - $\lim_{n \rightarrow \infty} \frac{n^b}{a^{c \cdot n}} = 0$ .
  - $\lim_{n \rightarrow \infty} \frac{a^{c \cdot n}}{n^b} = \infty$ .
- p. For  $a > 1$  and  $b, c > 0$ :

q. If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$ , then:

	$c = 0$	$0 < c < \infty$	$c = \infty$
$f(n) \in O(g(n))$	True	True	False
$f(n) \in \Theta(g(n))$	False	True	False
$f(n) \in \Omega(g(n))$	False	True	True

r. *The Master Recurrence Theorem:* Suppose  $T(n) = a \cdot T(n/b) + O(n^d)$  where  $a, b \geq 1$  and  $d \geq 0$ . Then:

- i)  $T(n) \in O(n^d)$ , if  $d > \log_b a$ .
- ii)  $T(n) \in O(n^d \log n)$ , if  $d = \log_b a$ .
- iii)  $T(n) \in O(n^{\log_b a})$ , if  $d < \log_b a$ .

s. *Some log tables (to three decimal places)*

$n$	$\log_2 n$	$\log_3 n$	$\log_5 n$
1	0.000	0.000	0.000
2	1.000	0.631	0.431
3	1.585	1.000	0.683
4	2.000	1.262	0.861
5	2.322	1.465	1.000
6	2.585	1.631	1.113
7	2.807	1.771	1.209
8	3.000	1.893	1.292
9	3.170	2.000	1.365

t. For any integer  $n > 0$  (e.g., 3),  $n$  divides 0.

## Answers

## Answers for Problem 1

	$f(n) =$	$g(n) =$	Your answer	Why? (You didn't need to give this part)
(q)	$\frac{1}{15}n^2 + 97^{98^{99}} \cdot n$	$n^2$	$\Theta$	$\lim_{n \rightarrow \infty} \frac{\frac{1}{15}n^2 + 97^{98^{99}} \cdot n}{n^2} = \lim_{n \rightarrow \infty} \left( \frac{1}{15} + \frac{97^{98^{99}}}{n} \right) = \frac{1}{15}$ .
(s)	$n^2$	$\frac{n(n+1)(n+2)}{n+3}$	$\Theta$	$\lim_{n \rightarrow \infty} \frac{n^2 \cdot (n+3)}{n(n+1)(n+2)} = \lim_{n \rightarrow \infty} \frac{n^3 + 3n^2}{n^3 + 3n^2 + 2n} = 1$ .
(u)	$n^5 + 12n$	$\frac{2^n}{n^3 + 6}$	$O$	$\lim_{n \rightarrow \infty} \frac{(n^5 + 12n) \cdot (n^3 + 6)}{2^n} = 0$ by Math Fact p.
(w)	$3^{n-1}$	$2^n$	$\Omega$	$\lim_{n \rightarrow \infty} \frac{3^{n-1}}{2^n} = \frac{1}{2} \lim_{n \rightarrow \infty} \left( \frac{3}{2} \right)^{n-1} = \infty$ .
(y)	$n^{\log_2 n}$	$2^{2(\log_2 n)}$	$\Omega$	$\lim_{n \rightarrow \infty} \frac{n^{\log_2 n}}{2^{2(\log_2 n)}} = \lim_{n \rightarrow \infty} \frac{n^{\log_2 n}}{4^{\log_2 n}} = \lim_{n \rightarrow \infty} \left( \frac{n}{4} \right)^{\log_2 n} = \infty$ .

## Answers for Problem 2.

(g) False, because:  $\lim_{n \rightarrow \infty} \frac{n^2 2^n}{n 2^{2n}} = \lim_{n \rightarrow \infty} \frac{n}{2^n} = 0$  by Math Fact p.

(i) True, because:  $\lim_{n \rightarrow \infty} \frac{n^2 \cdot n}{(n+1)^4} = \lim_{n \rightarrow \infty} \frac{n^3}{n^4 + 4n^3 + 6n^2 + 4n + 1} = 0$ .

## An answer to Problem 3

**Base case:**  $n = 0$ . Then  $0^3 + 2 \cdot 0 = 0$  which is divisible by 3.

**Induction step:** Suppose  $3|(n^3 + 2n)$ . We need to show that  $3|((n+1)^3 + 2(n+1))$ . We note

$$(n+1)^3 + 2(n+1) = n^3 + 3n^2 + 3n + 1 + 2n + 2 = (n^3 + 2n) + 3n^2 + 3n + 3.$$

By the induction hypothesis,  $3|(n^3 + 2n)$  and by inspection  $3|(3n^2 + 3n + 3)$ .

Therefore,  $3|((n+1)^3 + 2(n+1))$  as required.

## Answers for Problem 4.

(a) Since  $j$  doubles every time through the loop, at the beginning of the  $i$ -th iteration, the value of  $j$  is  $2^i$ . The loop exits with the first  $i$  with  $2^i > n$ , i.e.,  $i > \log_2 n$ . Therefore,  $z$  increases by  $\Theta(\log n)$ .

(b) Since  $j$  triples every time through the loop, at the beginning of the  $i$ -th iteration, the value of  $j$  is  $3^i$ . The loop exits with the first  $i$  with  $3^i > n$ , i.e.,  $i > \log_3 n$ . Therefore,  $z$  increases by  $\Theta(\log n)$ .

(c) The inner loop goes through  $n - k + 1$  many iterations. So the inner loop increases  $z$  by  $n - k + 1$ . In the outer loop,  $k$  goes from 0 to  $n$  in steps of 1. Therefore,  $z$  is increased by  $\sum_{k=0}^n n - k + 1 = (n+1) + n + \dots + 2 + 1 = \frac{1}{2}(n+1)(n+2)$  by Math Fact j. So  $z \in \Theta(n^2)$ .

(d) The inner loop goes through  $k + 1$  many iterations. So the inner loop increases  $z$  by  $k + 1$ . In the outer loop,  $k$  goes from  $n$  to 0 in steps of  $-1$ . Therefore,  $z$  is increased by  $\sum_{k=0}^n n - k + 1 = (n+1) + n + \dots + 2 + 1 = \frac{1}{2}(n+1)(n+2)$  by Math Fact j. So  $z \in \Theta(n^2)$ .

## Answers for Problem 5.

- (a) For this case  $a = 9$ ,  $b = 2$ , and  $d = 2$ . So  $\log_b a = \log_2 9 \approx 3.17 > 2 = d$ . Therefore, by the Master Recurrence Theorem, the algorithm runs in  $\Theta(n^{\log_2 9})$  time.
- (b) For this case  $a = 5$ ,  $b = 2$ , and  $d = 1$ . So  $\log_b a = \log_2 5 = 2.322 > 1 = d$ . Therefore, by the Master Recurrence Theorem, the algorithm runs in  $\Theta(n^{\log_2 5})$  time.
- (c) For this case  $a = 7$ ,  $b = 3$ , and  $d = 2$ . So  $\log_b a = \log_3 7 = 1.893 < 2 = d$ . Therefore, by the Master Recurrence Theorem, the algorithm runs in  $\Theta(n^2)$  time.
- (d) For this case  $a = 9$ ,  $b = 3$ , and  $d = 2$ . So  $\log_b a = \log_3 9 = 2 = d$ . Therefore, by the Master Recurrence Theorem, the algorithm runs in  $\Theta(n^2 \log n)$  time.

## Answers for Problem 6.

- (a) Scan the list and count how many times  $y$  occurs. Then:  $y$  is the majority value if and only if the count is  $> k/2$ . Clearly this test takes  $\Theta(k)$  time.
- (b) Suppose the number of  $y$ 's in  $[x_1, \dots, x_{\lfloor k/2 \rfloor}]$  is  $\leq \frac{1}{2} \lfloor k/2 \rfloor$  and the number of  $y$ 's in  $[x_{1+\lfloor k/2 \rfloor}, \dots, x_k]$  is  $\leq \frac{1}{2}(k - \lfloor k/2 \rfloor)$ . So the number of  $y$ 's in  $[x_1, \dots, x_k]$  must be  $\leq \frac{1}{2} \lfloor \frac{k}{2} \rfloor + \frac{1}{2}(k - \lfloor \frac{k}{2} \rfloor) = \frac{1}{2}k$ .
- (c) By the previous part, if  $y$  is the majority in  $[x_1, \dots, x_k]$ , it must also be the majority in at least one of  $[x_1, \dots, x_{\lfloor k/2 \rfloor}]$  and  $[x_{1+\lfloor k/2 \rfloor}, \dots, x_k]$ .
- (d) Here is the algorithm:

```

procedure majority( $[x_1, \dots, x_k]$ )
  if  $k = 1$  then return  $x_1$ 
   $mid \leftarrow \lfloor k/2 \rfloor$ 
   $m_{left} \leftarrow$  majority( $[x_1, \dots, x_{mid}]$ )
   $m_{right} \leftarrow$  majority( $[x_{mid+1}, \dots, x_k]$ )
  if  $m_{left}$  occurs  $> k/2$  many times in  $[x_1, \dots, x_k]$ 
    then return  $m_{left}$ 
  else if  $m_{right}$  occurs  $> k/2$  many times in  $[x_1, \dots, x_k]$ 
    then return  $m_{right}$ 
  else return  $x_1$ 

```

- (e) On a list of length  $k > 1$  there is a recursion on a list of length  $\lfloor k/2 \rfloor$  and another on a list of length  $\lceil k/2 \rceil$ . Besides the recursions, the work done in a call is  $\Theta(k)$ -time. So the recurrence is:  $T(k) = 2T(k/2) + \Theta(k)$ . Hence,  $a = b = 2$ , and  $d = 1 = \log_2 2 = \log_b a$ . Therefore,  $T(k) \in \Theta(k \log k)$ .

## Answers for Problem 7.

**Part (a).** Just scan down  $G$ 's adjacency lists and build  $G^R$ 's adjacency lists as we go. Here is the algorithm. We assume  $V = \{1, \dots, n\}$ .

```

function rev( $adj_G[1..n]$ )
  for  $i \leftarrow 1$  to  $n$  do
     $add_G[i] \leftarrow$  an empty list           (*)
  for  $i \leftarrow 1$  to  $n$  do
    for each  $u$  in  $adj_G[i]$  do
      add  $i$  to  $adj_R[u]$                      (‡)
  return  $adj_R$ 

```

*Runtime analysis:* The line marked-(\*) is executed once per vertex and the line marked-(‡) is executed once per edge. Hence, the total time is  $\Theta(|V| + |E|)$ .

**Part (b).** Just take the transpose of  $G$ 's adjacency matrix. Here is the code. We assume  $V = \{1, \dots, n\}$ .

```

function rev( $A_G[1..n, 1..n]$ )
   $A_R \leftarrow$  a new  $n \times n$  element array
  for  $i \leftarrow 1$  to  $n$  do
    for  $j \leftarrow 1$  to  $n$  do  $A_R[i, j] \leftarrow A_G[j, i]$ 
  return  $A_R$ 

```

Initializing  $A_R$  and the nested-for loops take  $\Theta(n \times n) = \Theta(|V|^2)$  time.